



107181074

In re Application of John E. Jones  
Serial No. 09/899,727  
**PATENT**

3624  
NW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Number : 09/899,727                      Confirmation Number : 2932  
Applicant : John E. Jones  
Filed : July 5, 2001  
TC/A.U. : 3624  
Examiner : Unassigned  
Title: : Automated Payment System And Method  
Docket Number : 47171-00290USPT  
Customer Number : 41230

**FIFTH INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**CERTIFICATE OF MAILING  
37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date indicated below:

10/14/04      Gloria O'Bannon  
Date                      (name of sender)

Dear Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.98(d), any necessary copies are enclosed. Some of the references listed on the Form PTO-1449 are located in the Cummins-Allison Library at PK5-5T11 as indicated on the accompanying Form 1449. Accordingly, copies of such references are not enclosed. More specifically, only copies of the following references are enclosed: A1, A21-A22, A24-A34, B53-B68.

Any questions regarding the Cummins-Allison Library, the applicants respectfully request that the PTO Examiner contact one of the following PTO Examiners or the undersigned:

Primary Library Coordinator: Mark Beauchaine	Tel.: (703) 308-6336
Secondary Library Coordinator: Jeff Shapiro:	Tel: (703) 308-3423
Supervisory Patent Examiner: Don Walsh	Tel: (703) 306-3174

In addition to the present assignee's published patent applications listed on the PTO Form 1449, the applicants would like to make the Examiner aware of the following unpublished pending U.S. applications that are being pursued by the assignee of the present application.

<u>Application No.</u>	<u>Date Filed</u>	<u>Title</u>	<u>Same or Similar Spec. As</u>
09/655,481	09/05/00	Method and Apparatus for Discriminating and Counting Documents	U.S. Pat. 6,351,551
10/264,338	10/03/02	Automatic Currency Processing System	U.S. Pat. 5,905,810
10/263,622	10/03/02	Automatic Currency Processing System	U.S. Pat. 6,128,402
10/915,003	08/10/04	Automatic Currency Processing System Having Ticket Redemption Module	U.S. Pat. 6,778,693
10/861,338	06/04/04	Currency Handling Systems Having Multiple Output Receptacles	U.S. Pat. 6,398,000
09/542,487	04/03/00	Method and Apparatus for Document Processing	U.S. Pat. 6,311,819
09/541,170	04/03/00	Method of Using a Two Pocket Currency Evaluation Device	U.S. Pat. 6,311,819
09/607,019	6/29/00	Apparatus with Two or More Pockets for Document Processing	U.S. Pat. 6,311,819
09/611,279	07/06/00	Method for Document Processing	U.S. Pat. 6,311,819
09/684,103	10/05/00	Method and Apparatus for Document Identification and Authentication	
09/635,967	08/10/00	Method and Apparatus for Document Processing	U.S. Pat. 6,311,819
10/755,039	1/9/04	Document Processing Method and System	U.S. Pat. 6,731,786
10/769,406	1/30/04	Document Processing System Using Primary and Secondary Pictorial Image Comparison	
10/903,745	07/30/04	Currency Processing Device, Method and System	
10/662,738	09/15/03	System and Method for Processing Currency and Identification Cards in a Document Processing Device	
10/941,688	09/15/04	System and Method for Processing Currency and Identification Cards in a Document Processing Device	
10/782,447	2/19/04	Compact Currency Bill and Coin Processing Device	
10/754,044	1/7/04	Currency Processing Device Having Multiple Stage Transport Path and Method for Operating the Same	

<u>Application No.</u>	<u>Date Filed</u>	<u>Title</u>	<u>Same or Similar Spec. As</u>
10/890,654	7/14/04	Currency Processing Device Having Multiple Stage Transport Path and Method for Operating the Same	
10/682,320	10/9/03	Method and Apparatus for Processing Currency Bills and Coins	
10/662,930	09/15/03	System and Method for Searching and Verifying Documents in A Document Processing Device	
10/939,938	9/13/04	System and Method for Processing Batches of Documents	
10/853,021	5/25/04	An Automated Document Processing System Using Full Image Scanning	U.S. Pat. 6,731,786

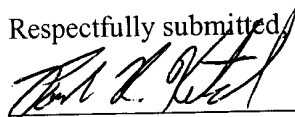
In accordance with 37 C.F.R. §1.98(a)(2)(iii), copies of all the above-referenced unpublished pending U.S. applications together with their corresponding claims are enclosed except for pending applications which have the same or similar specification as a published patent or application as listed in the above table in which case only a copy of the pending claims are enclosed.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. § 1.56.

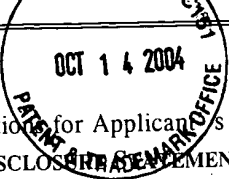
The present Information Disclosure statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits of this application, and is timely in accordance with 37 C.F.R. § 1.97(b). Thus, no fees are due. The Commissioner is authorized to charge any fee (except the issue fee) while this application is pending to Jenkins & Gilchrist Account No. 10-0447/47171-00290USPT.

October 14, 2004  
Date

Respectfully submitted,



Paul R. Kitch  
Reg. No. 38,206  
Jenkins & Gilchrist, P.C.  
225 West Washington Street, Suite 2600  
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(312) 425-3900  
Attorneys for Applicants



Form PTO-1449 (modified)  List of Patents and Publications for Applicant's <b>FIFTH INFORMATION DISCLOSURE STATEMENT</b>  Page 1 of 6	Atty. Docket No. 47171-00290USPT  Applicant John E. Jones  Filing Date: July 5, 2001	Serial No. 09/899,727  Group: 2167
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Published Patent Applications								
Exam. Init.	Ref. Des.	CA Cat. #	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1		US 2001-0015311 A1	08/23/01	Mennie	194	302	04/18/01
	A2	C-B1	US 2001-0035603 A1	11/01/01	Graves et al.	271	265.01	02/08/01
	A3	C-B4	US 2002-0020603 A1	02/21/02	Jones et al.	194	346	09/28/01
	A4	C-B5	US 2002-0056605 A1	05/16/02	Mazur et al.	194	207	12/21/01
	A5	C-B7	US 2002-0085745 A1	07/04/02	Jones et al.	382	135	01/09/02
	A6	C-B11	US 2002-0118871 A1	08/29/02	Jones et al.	382	137	02/11/02
	A7	C-B13	US 2002-0126885 A1	09/12/02	Mennie et al.	382	135	02/27/02
	A8	C-B17	US 2002-0145035 A1	10/10/02	Jones	235	379	04/10/01
	A9	C-B20	US 2002-0154806 A1	10/24/02	Jones et al.	382	135	01/07/02
	A10	C-B23	US 2003-0009420 A1	01/09/03	Jones	705	39	07/05/01
	A11	C-B24	US 2003-0015395 A1	01/23/03	Hallowell et al.	194	206	02/08/02
	A12	C-B25	US 2003-0015396 A1	01/23/03	Mennie	194	206	02/19/02
	A13	C-B26	US 2003-0059098 A1	03/27/03	Jones et al.	382	135	09/27/01
	A14	C-B27	US 2003-0062242 A1	04/03/03	Hallowell et al.	194	302	09/27/02
	A15	C-B28	US 2003-0081824 A1	05/01/03	Mennie et al.	382	135	07/19/02
	A16	C-B29	US 2003-0108233 A1	06/12/03	Rateman et al.	382	135	06/06/02
	A17	C-B30	US 2003-0121752 A1	07/03/03	Stromme et al.	194	207	09/12/02
	A18	C-B31	US 2003-0121753 A1	07/03/03	Stromme et al.	194	207	09/12/02
	A19	C-B32	US 2003-0132281 A1	07/17/03	Jones et al.	235	379	01/06/03
	A20	C-B33	US 2003-0139994 A1	07/24/03	Jones	705	36	01/22/03
	A21		US 2003-0168308 A1	09/11/03	Maier et al.	194	207	03/04/03
	A22		US 2003-0174874 A1	09/18/03	Rateman et al.	382	135	03/18/03
	A23	C-B35	US 2003-0182217 A1	09/25/03	Chiles	705	35	02/18/03
	A24		US 2003-0198373 A1	10/23/03	Rateman et al.	382	135	05/08/03
	A25		US 2003-0202690 A1	10/30/03	Jones et al.	382	139	03/20/03
	A26		US 2004-0003980 A1	01/08/04	Hallowell et al.	194	206	06/12/03

<b>EXAMINER:</b>  EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	<b>DATE CONSIDERED:</b>
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**INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)**

Form PTO-1449 (modified)

**OCT 14 2004**  
PATENT & TRADEMARK OFFICE

Atty. Docket No.  
47171-00290USPT

Serial No.  
09/899,727

List of Patents and Publications for Applicant  
**FIFTH INFORMATION DISCLOSURE STATEMENT**

Applicant  
John E. Jones

Filing Date:  
July 5, 2001

Group: 2167

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A27	US 2004-0016621 A1	01/29/04	Jenrick et al.	194	206	04/25/03
A28	US 2004-0016797 A1	01/29/04	Jones et al.	235	379	07/23/02
A29	US 2004-0028266 A1	02/12/04	Jones et al.	382	135	08/07/03
A30	US 2004-0083149 A1	04/29/04	Jones	705	35	10/20/03
A31	US 2004-0145726 A1	07/29/04	Csulits et al.	356	71	10/10/03
A32	US 2004-0149538 A1	08/05/04	Sakowski	194	207	09/17/03
A33	US 2004-0153408 A1	08/05/04	Jones et al.	705	43	09/24/03
A34	US 2004-0154964 A1	08/12/04	Jones	209	534	02/06/04
A35						
A36						
A37						

U.S. Patent Documents								
Exam. Init.	Ref. Des.	CA Cat. #	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	B1	C-A191	5,692,067	11/25/97	Rateman et al.	382	135	11/14/94
	B2	C-A194	5,751,840	05/12/98	Rateman et al.	382	135	07/14/95
	B3	C-A196	5,790,693	08/04/98	Graves et al.	382	135	06/23/95
	B4	C-A198	5,806,650	09/05/98	Mennie et al.	194	206	08/31/95
	B5	C-A199	5,815,592	09/29/98	Mennie et al.	382	135	11/14/94
	B6	C-A200	5,822,448	10/13/98	Graves et al.	382	135	09/18/96
	B7	C-A201	5,832,104	11/03/98	Graves et al.	382	135	01/21/97
	B8	C-A202	5,867,589	02/02/99	Graves et al.	382	135	06/11/97
	B9	C-A203	5,870,487	02/09/99	Graves et al.	382	135	12/22/94
	B10	C-A204	5,875,259	02/23/99	Mennie et al.	382	135	03/07/95
	B11	C-A205	5,905,810	05/18/99	Jones et al.	382	135	03/24/97
	B12	C-A206	5,909,502	06/01/99	Mazur	382	135	09/17/96
	B13	C-A207	5,909,503	06/01/99	Graves et al.	382	135	04/08/97
	B14	C-A208	5,912,982	06/15/99	Munro et al.	382	135	11/21/96
	B15	C-A210	5,938,044	08/17/99	Weggesser	209	534	03/10/97
	B16	C-A211	5,940,623	08/17/99	Watts et al.	395	712	08/01/97

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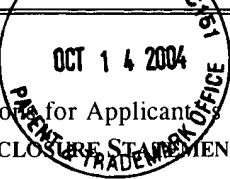
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	Filing Date: July 5, 2001	Group: 2167

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	B17	C-A213	5,960,103	09/28/99	Graves et al.	382	135	02/11/97
	B18	C-A214	5,966,456	10/12/99	Jones et al.	382	135	04/04/97
	B19	C-A218	5,992,601	11/30/99	Mennie et al.	194	207	02/14/97
	B20	C-A220	6,012,565	01/11/00	Mazur	194	207	05/07/97
	B21	C-A222	6,026,175	02/15/00	Rateman et al.	382	135	09/27/96
	B22	C-A223	6,028,951	02/22/00	Rateman et al.	382	135	11/25/98
	B23	C-A224	6,039,645	03/21/00	Mazur	453	10	06/24/97
	B24	C-A194	6,068,194	05/30/00	Mazur	235	492	02/12/98
	B25	C-A226	6,072,896	06/06/00	Graves et al.	382	135	12/22/98
	B26	C-A227	6,073,744	06/13/00	Rateman et al.	194	207	07/23/99
	B27	C-A228	6,074,334	06/13/00	Mennie et al.	493	438	10/28/98
	B28	C-A229	6,128,402	10/03/00	Jones et al.	382	135	03/06/98
	B29	C-A231	6,220,419	04/24/01	Mennie	194	207	04/04/97
	B30	C-A233	6,237,739	05/29/01	Mazur et al.	194	207	01/15/99
	B31	C-A234	6,241,069	06/05/01	Mazur et al.	194	207	02/05/99
	B32	C-A235	6,256,407	07/03/01	Mennie et al.	382	135	06/16/00
	B33	C-A236	6,278,795	08/21/01	Anderson et al.	382	135	07/25/00
	B34	C-A237	6,311,819	11/06/01	Stromme et al.	194	207	06/13/00
	B35	C-A238	6,318,537	11/20/01	Jones et al.	194	346	04/28/00
	B36	C-A239	6,351,551	02/26/02	Munro et al.	382	135	07/30/98
	B37	C-A240	6,363,164	03/26/02	Jones et al.	382	135	07/21/00
	B38	C-A241	6,371,303	04/16/02	Klein et al.	209	534	02/11/00
	B39	C-A242	6,378,683	04/30/02	Mennie	194	207	04/18/01
	B40	C-A243	6,381,354	04/30/02	Mennie et al.	382	135	05/12/98
	B41	C-A244	6,398,000	06/04/02	Jenrick et al.	194	200	02/11/00
	B42	C-A245	6,459,806	10/01/02	Rateman et al.	382	135	12/02/99
	B43	C-A246	6,460,705	10/08/02	Hallowell	209	534	08/09/00
	B44	C-A247	6,493,461	12/10/02	Mennie et al.	382	135	10/27/98
	B45	C-A248	6,539,104	03/25/03	Rateman et al.	382	135	04/12/94
	B46	C-A249	6,560,355	05/06/03	Graves et al.	382	135	02/05/01

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

**INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)**

Form PTO-1449 (modified)		Atty. Docket No. 47171-00290USPT	Serial No. 09/899,727
List of Patents and Publications for Applicant		Applicant John E. Jones	
FIFTH INFORMATION DISCLOSURE STATEMENT		Filing Date: July 5, 2001	Group: 2167
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	B47	C-A250	6,588,569	07/08/03	Jenrick et al.	194	206	10/16/00
	B48	C-A251	6,601,687	08/05/03	Jenrick et al.	194	206	11/16/00
	B49	C-A252	6,603,872	08/05/03	Jones et al.	382	135	01/04/02
	B50	C-A253	6,621,919	09/16/03	Mennie et al.	382	135	09/27/02
	B51	C-A254	6,628,816	09/30/03	Mennie et al.	382	135	03/02/01
	B52	C-A255	6,636,624	10/21/03	Rateman et al.	382	135	12/08/00
	B53		6,647,136	11/11/03	Jones et al.	382	137	01/04/02
	B54		6,650,767	11/18/03	Jones et al.	382	135	01/02/02
	B55		6,654,486	11/25/03	Jones et al.	382	135	01/23/02
	B56		6,661,910	12/09/03	Jones et al.	382	135	02/21/01
	B57		6,665,431	12/16/03	Jones et al.	382	135	01/04/02
	B58		6,678,401	01/13/04	Jones et al.	382	135	01/09/02
	B59		6,678,402	01/13/04	Jones et al.	382	135	02/11/02
	B60		6,705,470	03/16/04	Klein et al.	209	534	02/01/02
	B61		6,721,442	04/13/04	Mennie et al.	382	135	03/05/01
	B62		6,724,926	04/20/04	Jones et al.	382	135	01/08/02
	B63		6,724,927	04/20/04	Jones et al.	382	135	01/08/02
	B64		6,731,785	05/04/04	Mennie et al.	382	135	07/26/00
	B65		6,731,786	05/04/04	Jones et al.	382	135	01/08/02
	B66		6,748,101	06/08/04	Jones et al.	382	135	09/29/00
	B67		6,778,693	08/17/04	Jones et al.	382	136	02/28/02
	B68		6,798,899	09/28/04	Mennie et al.	271	10.09	01/04/01
	B69							

Foreign Patent Documents								
Exam. Init.	Ref. Des.	CA Cat. #	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	C1	C-E1	WO 91/11778	08/08/91	International (PCT)			Not Applicable
	C2	C-E2	WO 92/17394	10/15/92	International (PCT)			Not Applicable
	C3	C-E3	WO 93/23824	11/25/93	International (PCT)			Not Applicable
	C4	C-E4	WO 95/24691	09/14/95	International (PCT)			Not Applicable
<b>EXAMINER:</b>					<b>DATE CONSIDERED:</b>			
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Page 5 of 6	Filing Date: July 5, 2001	Group: 2167

### Foreign Patent Documents

Exam. Init.	Ref. Des.	CA Cat. #	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	C5	C-E5	WO 96/10800	04/11/96	International (PCT)			Not Applicable
	C6	C-E6	WO 96/36933	11/21/96	International (PCT)			Not Applicable
	C7	C-E7	WO 97/30422	08/21/97	International (PCT)			Not Applicable
	C8	C-E8	WO 97/43734	11/20/97	International (PCT)			Not Applicable
	C9	C-E9	WO 97/45810	12/04/97	International (PCT)			Not Applicable
	C10	C-E10	WO 98/12662	03/26/98	International (PCT)			Not Applicable
	C11	C-E11	WO 98/13785	04/02/98	International (PCT)			Not Applicable
	C12	C-E12	WO 98/24052	06/04/98	International (PCT)			Not Applicable
	C13	C-E13	WO 98/24067	06/04/98	International (PCT)			Not Applicable
	C14	C-E14	WO 98/35323	08/13/98	International (PCT)			Not Applicable
	C15	C-E15	WO 98/40839	09/17/98	International (PCT)			Not Applicable
	C16	C-E16	WO 98/47100	10/22/98	International (PCT)			Not Applicable
	C17	C-E17	WO 98/50892	11/12/98	International (PCT)			Not Applicable
	C18	C-E18	WO 98/59323	12/30/98	International (PCT)			Not Applicable
	C19	C-E19	WO 99/09511	02/25/99	International (PCT)			Not Applicable
	C20	C-E20	WO 99/14668	03/25/99	International (PCT)			Not Applicable
	C21	C-E21	WO 99/23601	05/14/99	International (PCT)			Not Applicable
	C22	C-E22	WO 99/41695	08/19/99	International (PCT)			Not Applicable
	C23	C-E23	WO 99/48040	09/23/99	International (PCT)			Not Applicable
	C24	C-E24	WO 99/48042	09/23/99	International (PCT)			Not Applicable
	C25	C-E25	WO 00/24572	05/04/00	International (PCT)			Not Applicable
	C26	C-E26	WO 01/08108	02/01/01	International (PCT)			Not Applicable
	C27	C-E27	WO 01/59685	08/16/01	International (PCT)			Not Applicable
	C28	C-E28	WO 01/59723	08/16/01	International (PCT)			Not Applicable
	C29	C-E29	WO 02/29735	04/11/02	International (PCT)			Not Applicable
	C30	C-E30	WO 02/054360	07/11/02	International (PCT)			Not Applicable
	C31	C-E31	WO 03/005312	01/16/03	International (PCT)			Not Applicable

**EXAMINER:**

**DATE CONSIDERED:**

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

*INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)*



Form PTO-1449 (modified)	Atty. Docket No. 47171-00290USPT	Serial No. 09/899,727
List of Patents and Publications for Applicant: <b>FIFTH INFORMATION DISCLOSURE STATEMENT</b>	Applicant John E. Jones	
Page 6 of 6	Filing Date: July 5, 2001	Group: 2167

### Foreign Patent Documents

Exam. Init.	Ref. Des.	CA Cat. #	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	C32	C-E32	WO 03/028361	04/03/03	International (PCT)			Not Applicable
	C33	C-E33	WO 03/029913	04/10/03	International (PCT)			Not Applicable
	C34	C-E34	WO 03/030113	04/10/03	International (PCT)			Not Applicable
	C35	C-E35	WO 03/067532	08/14/03	International (PCT)			Not Applicable
	C36	C-E36	WO 03/107282	12/24/03	International (PCT)			Not Applicable
	C37	C-E37	WO 04/010367	01/29/04	International (PCT)			Not Applicable
	C38	C-E38	WO 04/027717	04/01/04	International (PCT)			Not Applicable
	C39	C-E39	WO 04/036508	04/29/04	International (PCT)			Not Applicable
	C40	C-E40	WO 04/038631	05/06/04	International (PCT)			Not Applicable
	C41	C-E41	WO 04/068422	08/12/04	International (PCT)			Not Applicable
	C42							
	C43							
	C44							

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